

## Finance 636: Investments & Portfolios Seminar (online)

Wednesdays 7pm – 9:45pm Pacific

Fall Semester 2021 Prof. Michael Phillips

profmike@profmike.com

### Class Schedule

Please see downloadable schedule spreadsheet on [www.boringfinance.com](http://www.boringfinance.com).

- [www.BoringFinance.com](http://www.BoringFinance.com) is the class website for turning in assignments
- [https://teams.microsoft.com/l/meetup-join/19%3ameeting\\_NWJIMWQ2M2YtYWRmNi00ODhhLTljYzAtZmRjZjE4MGNjNDQx%40thead.v2/0?context=%7b%22Tid%22%3a%22982c4427-3225-418a-9d58-bf390aa505bc%22%2c%22Oid%22%3a%222beba1b9-6b90-43ab-a4c0-a33d3f4d7656%22%7d](https://teams.microsoft.com/l/meetup-join/19%3ameeting_NWJIMWQ2M2YtYWRmNi00ODhhLTljYzAtZmRjZjE4MGNjNDQx%40thead.v2/0?context=%7b%22Tid%22%3a%22982c4427-3225-418a-9d58-bf390aa505bc%22%2c%22Oid%22%3a%222beba1b9-6b90-43ab-a4c0-a33d3f4d7656%22%7d) is the link for each class meeting
- [https://teams.microsoft.com/l/meetup-join/19%3ameeting\\_MzU4Y2M2OWMtYmU0OS00NTNiLTg3MzAtYTQwNTU0ZmQ5YzQ%40thead.v2/0?context=%7b%22Tid%22%3a%22982c4427-3225-418a-9d58-bf390aa505bc%22%2c%22Oid%22%3a%222beba1b9-6b90-43ab-a4c0-a33d3f4d7656%22%7d](https://teams.microsoft.com/l/meetup-join/19%3ameeting_MzU4Y2M2OWMtYmU0OS00NTNiLTg3MzAtYTQwNTU0ZmQ5YzQ%40thead.v2/0?context=%7b%22Tid%22%3a%22982c4427-3225-418a-9d58-bf390aa505bc%22%2c%22Oid%22%3a%222beba1b9-6b90-43ab-a4c0-a33d3f4d7656%22%7d) is the link for office hours the hour before class.

Professor: G. Michael Phillips, Ph.D.

Contact by email: [profmike@profmike.com](mailto:profmike@profmike.com)

Office: Virtual 6p-7p Pacific (conferals beginning until 6:45pm) Wednesday evenings and by appointment

Textbooks and materials: links are provided in the curriculum spreadsheet downloadable at [www.boringfinance.com](http://www.boringfinance.com) and provided on the “files” section of the class Teams group.

**The classes will not meet every week.** Some weeks you will have individual or group assignments and should use class time to meet as a group, where appropriate, and do your assigned tasks. This may involve extensive library work, Internet research, and spreadsheet analysis. Several of the classes will be conducted independently in groups (for example, when you will be working on finalizing your buylists for the portfolios you are working on).

There will be no formal exams but there will be many opportunities to demonstrate your knowledge either with in-class discussion or formal projects done between classes including preparing regular group presentations to class. You will also need to write a summary memo each week describing what you thought/did/learned that week **as well as a Final “Individual Application” paper.** These are all turned in using the [www.BoringFinance.com](http://www.BoringFinance.com) website.

**Please note that each class begins promptly and that punctual attendance is expected.**

I expect seminar participants to be prepared. There should be no “passive” students. Your grade will be based on how well you do the various written and presentation assignments, how prepared you are for class, and how well you participate in discussion.

There will be an “online” area for the class at [www.BoringFinance.com](http://www.BoringFinance.com) which will be used for distributing materials and sometimes for turning in assignments. You’ll need to register for a free account at [www.BoringFinance.com](http://www.BoringFinance.com) and “Join” Fin636\_2021.

**You will be expected to turn in a weekly “reflection essay”** discussing what you did, what you learned, and any additional thoughts or applications from what you did during the past week for this class. **Each reflection essay should be turned in by 5pm on Sunday following the given week’s class.** Please note that while each is graded “pass/fail”, the total passed out of the total weeks (e.g., x/15 in a normal semester) is your grade for the collective “reflection essay” assignment. **In addition, a final paper will be due (uploaded onto boringfinance) by 8pm Pacific on 12/15/2021.**

**Your Grade will be based on**

<b>15 weekly reflection essays</b>	<b>30 points</b>
<b>Class Participation</b>	<b>15 points</b>
<b>Major Presentation #1</b>	<b>15 points</b>
<b>Major Presentation #2</b>	<b>15 points</b>
<b>Smaller Group Activities</b>	<b>15 points</b>
<b>Final Paper</b>	<b>10 points</b>

*(The assumption is that most students will take this class seriously and earn a score greater than 90, earning A grades. However, in every class there are usually a few who for whatever reason are unable to meet the level and quality of work expected for a graduate course and they generally receive what they earn, often an F. Don’t panic, don’t stress. It should be an interesting semester.)*

**Grades will be assigned as follows:**

<b>[90 and up)</b>	<b>A</b>
<b>[80 to 90)</b>	<b>B</b>
<b>[70 to 80)</b>	<b>C</b>
<b>[0 to 70)</b>	<b>F</b>

**No +/- grades will be given. No “D” grades will be assigned.**

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**The following are various policies and notices that relate to this class.**

**The University requires that these notices be included in the syllabus.**

**Please read them carefully.**

### **CSUN Core Values and Ethical Conduct Pledge**

The [CSUN Core Values and Ethical Conduct Pledge](#) is a requirement for this course. You will be asked to agree to this statement when you register on the BoringFinance.com website and submit essays. If you don't indicate that you agree to adhere to the statement, then you won't be allowed access to the BoringFinance.com classroom site. (Note that this is where you will turn in your written assignments.)

Bottom line: Don't cheat, don't do unethical things, just do your own work and turn it in.

Please read the Academic (Dis)Honesty Policy below.

### **Academic (Dis)Honesty Policy**

The CSUN policy on academic dishonesty will be enforced. *Anybody found violating the academic dishonesty policy with respect to any aspect of this class will fail not just the assignment but also the entire course and will be reported to University authorities.*

### **Incomplete Policy**

Please note that according to University policy, students must have passing work before an incomplete can be assigned. **Incompletes will NOT be authorized in the final week of classes or later in the semester. IF YOU REQUEST AN INCOMPLETE AND DO NOT ALREADY HAVE ENOUGH POINTS ASSIGNED FOR A PASSING GRADE AT THE TIME OF REQUEST, THE INCOMPLETE WILL NOT BE APPROVED.**

*MORE COMPLETE STATEMENT OF KEY POLICIES FOR THIS CLASS (PER CSUN POLICY):*

*ACADEMIC EMERGENCY POLICY*

*THERE WILL BE NO EXTENSIONS IN CASE OF CAMPUS CLOSURE OR OTHER ACADEMIC EMERGENCIES. YOUR GRADE WILL BE BASED ON WORK SUBMITTED AT THE TIME OF CLOSURE OR EMERGENCY.*

*INCOMPLETE POLICY ([HTTPS://CATALOG.CSUN.EDU/POLICIES/ADMINISTRATIVE-GRADING-SYMBOLS-I-IC-RP-SP-W-WUCR-AND-NC/](https://catalog.csun.edu/policies/administrative-grading-symbols-i-ic-rp-sp-w-wucr-and-nc/)) YOU MUST BE PASSING (HAVE ALREADY EARNED A "C" OR BETTER) IN ORDER TO BE QUALIFIED TO RECEIVE AN INCOMPLETE IN THIS CLASS. INCOMPLETES ARE ALMOST NEVER GIVEN IN THIS CLASS. THE SYMBOL "I" INDICATES THAT A PORTION OF REQUIRED COURSEWORK HAS NOT BEEN COMPLETED AND EVALUATED IN THE PRESCRIBED TIME PERIOD DUE TO UNFORESEEN BUT FULLY JUSTIFIED REASONS, AND THAT A SUBSTANTIAL PORTION OF THE COURSE REQUIREMENT HAS BEEN COMPLETED WITH A PASSING GRADE AND THAT THERE IS STILL A POSSIBILITY OF EARNING CREDIT. THE WORK THAT IS INCOMPLETE NORMALLY SHOULD BE OF SUCH A NATURE THAT CAN BE COMPLETED INDEPENDENTLY BY THE STUDENT FOR LATER EVALUATION BY THE INSTRUCTOR. AN INCOMPLETE SHALL NOT BE ASSIGNED WHEN A STUDENT WOULD BE REQUIRED TO ATTEND A MAJOR PORTION OF THE CLASS WHEN IT IS NEXT OFFERED. IT IS THE RESPONSIBILITY OF THE STUDENT TO BRING PERTINENT INFORMATION TO THE ATTENTION OF THE INSTRUCTOR AND TO DETERMINE FROM THE INSTRUCTOR THE REMAINING COURSE REQUIREMENTS THAT MUST BE SATISFIED TO REMOVE THE INCOMPLETE. A FINAL GRADE IS ASSIGNED WHEN THE AGREED-UPON WORK HAS BEEN COMPLETED AND EVALUATED. AN "I" MUST NORMALLY BE MADE UP WITHIN ONE CALENDAR YEAR IMMEDIATELY FOLLOWING THE END OF THE TERM DURING WHICH IT WAS ASSIGNED. THIS LIMITATION PREVAILS WHETHER OR NOT THE STUDENT MAINTAINS CONTINUOUS ENROLLMENT. STUDENTS WHO BELIEVE THEY MEET THE NECESSARY CONDITIONS TO BE ASSIGNED AN INCOMPLETE SHOULD OBTAIN A REQUEST FOR A GRADE OF INCOMPLETE FORM, AVAILABLE AT ADMISSIONS AND RECORDS-FORMS. STUDENTS SHOULD COMPLETE THE FORM AND SUBMIT IT IN PERSON TO THE COURSE INSTRUCTOR [OR THE DEPARTMENT OF FINANCE CHAIR] ON OR BEFORE LAST DAY OF THE ONLINE COURSE. THE INSTRUCTOR SHOULD CHECK ALL APPROPRIATE BOXES IN THE INSTRUCTOR INFORMATION SECTION OF THE FORM AND COMPLETE THE INFORMATION THAT DESCRIBES THE ASSIGNMENT(S) TO BE COMPLETED AND THE DUE DATE. IF STUDENTS FAIL TO SUBMIT THE REQUEST BY THIS DEADLINE, THEY SHOULD RECEIVE THE GRADE THAT THEY HAVE EARNED FOR THE ENTIRE COURSE, INCLUDING WORK COMPLETED AND PENALTIES FOR WORK NOT COMPLETED. NO RETROACTIVE INCOMPLETE GRADES ARE PERMITTED. IF THE REQUEST FOR AN INCOMPLETE IS GRANTED, THE INSTRUCTOR WILL ASSIGN A GRADE OF INCOMPLETE ON THE SOLAR CLASS GRADE ROSTER AND ADD AN INCOMPLETE CONTRACT. WHEN THE REQUIRED WORK IS COMPLETED, THE INSTRUCTOR WILL FILL OUT CORRECTION OF GRADE OR REMOVAL OF INCOMPLETE FORM AND SUBMIT IT TO THE DEPARTMENT OFFICE. ACADEMIC INTEGRITY POLICIES THIS CLASS ABIDES BY THE CAMPUS POLICIES ON ACADEMIC INTEGRITY, CHEATING, PLAGIARISM, AND ALL ASPECTS OF THE STANDARDS FOR STUDENT CONDUCT AS STATED IN THE UNIVERSITY CATALOG ([HTTPS://CATALOG.CSUN.EDU/POLICIES/ACADEMIC-DISHONESTY/](https://catalog.csun.edu/policies/academic-dishonesty/) AND [HTTPS://CATALOG.CSUN.EDU/POLICIES/STUDENT-CONDUCT-CODE/](https://catalog.csun.edu/policies/student-conduct-code/)).*

*ACADEMIC DISHONESTY*

*THE MAINTENANCE OF ACADEMIC INTEGRITY AND QUALITY EDUCATION IS THE RESPONSIBILITY OF EACH STUDENT WITHIN THIS UNIVERSITY AND THE CSU SYSTEM. CHEATING OR PLAGIARISM IN CONNECTION WITH AN ACADEMIC PROGRAM AT A CSU CAMPUS IS LISTED IN SECTION 41301, TITLE 5, CALIFORNIA CODE OF REGULATIONS AS AN OFFENSE FOR WHICH A STUDENT MAY BE EXPELLED, SUSPENDED OR GIVEN A LESS SEVERE DISCIPLINARY SANCTION. ACADEMIC DISHONESTY IS AN ESPECIALLY SERIOUS OFFENSE AND DIMINISHES THE QUALITY OF SCHOLARSHIP AND DEFRAUDS THOSE WHO DEPEND ON THE INTEGRITY OF THE UNIVERSITY'S PROGRAMS. SUCH DISHONESTY INCLUDES: CHEATING INTENTIONALLY USING OR ATTEMPTING TO USE UNAUTHORIZED MATERIALS, INFORMATION OR STUDY AIDS IN ANY ACADEMIC EXERCISE. COMMENTS: 1. FACULTY MEMBERS ARE ENCOURAGED TO STATE IN ADVANCE THEIR POLICIES AND PROCEDURES CONCERNING*

EXAMINATIONS AND OTHER ACADEMIC EXERCISES, AS WELL AS THE USE BEFORE EXAMINATIONS OF SHARED STUDY AIDS, EXAMINATION FILES AND OTHER RELATED MATERIALS AND FORMS OF ASSISTANCE. 2. STUDENTS COMPLETING ANY EXAMINATION SHOULD ASSUME THAT EXTERNAL ASSISTANCE (E.G., BOOKS, NOTES, CALCULATORS, PAGERS, CELL PHONES/CAMERAS, PDAS, OTHER ELECTRONIC DEVICES, CONVERSATION WITH OTHERS) IS PROHIBITED UNLESS SPECIFICALLY AUTHORIZED BY THE INSTRUCTOR. 3. STUDENTS MUST NOT ALLOW OTHERS TO CONDUCT RESEARCH OR PREPARE ANY WORK FOR THEM WITHOUT ADVANCE AUTHORIZATION FROM THE INSTRUCTOR. THIS INCLUDES BUT IS NOT LIMITED TO THE SERVICES OF COMMERCIAL TERM PAPER COMPANIES. 4. SUBSTANTIAL PORTIONS OF THE SAME ACADEMIC WORK MAY NOT BE SUBMITTED FOR CREDIT IN MORE THAN ONE COURSE WITHOUT AUTHORIZATION.

#### FABRICATION

INTENTIONAL FALSIFICATION OR INVENTION OF ANY INFORMATION OR CITATION IN AN ACADEMIC EXERCISE. COMMENTS: 1. "INVENTED" INFORMATION MAY NOT BE USED IN ANY LABORATORY EXPERIMENT OR OTHER ACADEMIC EXERCISE WITHOUT NOTICE TO AND AUTHORIZATION FROM THE INSTRUCTOR. IT WOULD BE IMPROPER, FOR EXAMPLE, TO ANALYZE ONE SAMPLE IN AN EXPERIMENT AND COVERTLY "INVENT" DATA BASED ON THAT SINGLE EXPERIMENT FOR SEVERAL MORE REQUIRED ANALYSES. 2. ONE SHOULD ACKNOWLEDGE RELIANCE UPON THE ACTUAL SOURCE FROM WHICH CITED INFORMATION WAS OBTAINED. FOR EXAMPLE, A WRITER SHOULD NOT REPRODUCE A QUOTATION FROM A BOOK REVIEW AND INDICATE THAT THE QUOTATION WAS OBTAINED FROM THE BOOK ITSELF. 3. STUDENTS WHO ATTEMPT TO ALTER AND RESUBMIT RETURNED ACADEMIC WORK WITH INTENT TO DEFRAUD THE FACULTY MEMBER WILL BE IN VIOLATION OF THIS SECTION. FOR EXAMPLE, A STUDENT MAY NOT CHANGE AN ANSWER ON A RETURNED EXAM AND THEN CLAIM THAT THEY DESERVE ADDITIONAL CREDIT. FACILITATING ACADEMIC DISHONESTY INTENTIONALLY OR KNOWINGLY HELPING OR ATTEMPTING TO HELP ANOTHER TO COMMIT AN ACT OF ACADEMIC DISHONESTY. COMMENTS: FOR EXAMPLE, ONE WHO KNOWINGLY ALLOWED ANOTHER TO COPY FROM HIS OR HER PAPER DURING AN EXAMINATION WOULD BE IN VIOLATION OF THIS SECTION. PLAGIARISM INTENTIONALLY OR KNOWINGLY REPRESENTING THE WORDS, IDEAS OR WORK OF ANOTHER AS ONE'S OWN IN ANY ACADEMIC EXERCISE. COMMENTS: 1. DIRECT QUOTATION: EVERY DIRECT QUOTATION MUST BE IDENTIFIED BY QUOTATION MARKS, BY APPROPRIATE INDENTATION OR BY OTHER MEANS OF IDENTIFICATION AND MUST BE PROMPTLY CITED IN A FOOTNOTE. PROPER FOOTNOTE STYLE FOR ANY ACADEMIC DEPARTMENT IS OUTLINED BY THE "MLA STYLE SHEET" OR K. L. TURABIAN'S A MANUAL FOR WRITERS OF TERM PAPERS, THESES AND DISSERTATIONS. THESE AND SIMILAR PUBLICATIONS ARE AVAILABLE IN THE MATADOR BOOKSTORE AND AT THE REFERENCE DESK OF THE OVIATT LIBRARY. 2. PARAPHRASE: PROMPT ACKNOWLEDGMENT IS REQUIRED WHEN MATERIAL FROM ANOTHER SOURCE IS PARAPHRASED OR SUMMARIZED IN WHOLE OR IN PART IN YOUR OWN WORDS. TO ACKNOWLEDGE A PARAPHRASE PROPERLY, ONE MIGHT STATE: "TO PARAPHRASE LOCKE'S COMMENT . . ." AND CONCLUDE WITH A FOOTNOTE IDENTIFYING THE EXACT REFERENCE. A FOOTNOTE ACKNOWLEDGING ONLY A DIRECTLY QUOTED STATEMENT DOES NOT SUFFICE TO NOTIFY THE READER OF ANY PRECEDING OR SUCCEEDING PARAPHRASED MATERIAL. 3. BORROWED FACTS OR INFORMATION: INFORMATION OBTAINED IN ONE'S READING OR RESEARCH THAT IS NOT COMMON KNOWLEDGE AMONG STUDENTS IN THE COURSE MUST BE ACKNOWLEDGED. EXAMPLES OF COMMON KNOWLEDGE MIGHT INCLUDE THE NAMES OF LEADERS OF PROMINENT NATIONS, BASIC SCIENTIFIC LAWS, ETC. MATERIALS THAT CONTRIBUTE ONLY TO ONE'S GENERAL UNDERSTANDING OF THE SUBJECT MAY BE ACKNOWLEDGED IN THE BIBLIOGRAPHY AND NEED NOT BE IMMEDIATELY FOOTNOTED. ONE FOOTNOTE IS USUALLY SUFFICIENT TO ACKNOWLEDGE INDEBTEDNESS WHEN A NUMBER OF CONNECTED SENTENCES IN THE PAPER DRAW THEIR SPECIAL INFORMATION FROM ONE SOURCE. WHEN DIRECT QUOTATIONS ARE USED, HOWEVER, QUOTATION MARKS MUST BE INSERTED AND PROMPT ACKNOWLEDGMENT IS REQUIRED. TITLE 5, CALIFORNIA CODE OF REGULATIONS, § 41301. STANDARDS FOR STUDENT CONDUCT (A) CAMPUS COMMUNITY VALUES THE UNIVERSITY IS COMMITTED TO MAINTAINING A SAFE AND HEALTHY LIVING AND LEARNING ENVIRONMENT FOR STUDENTS, FACULTY, AND STAFF. EACH MEMBER OF THE CAMPUS COMMUNITY SHOULD CHOOSE BEHAVIORS THAT CONTRIBUTE TOWARD THIS END. STUDENTS ARE EXPECTED TO BE GOOD CITIZENS AND TO ENGAGE IN RESPONSIBLE BEHAVIORS THAT REFLECT

WELL UPON THEIR UNIVERSITY, TO BE CIVIL TO ONE ANOTHER AND TO OTHERS IN THE CAMPUS COMMUNITY, AND CONTRIBUTE POSITIVELY TO STUDENT AND UNIVERSITY LIFE. (B) GROUNDS FOR STUDENT DISCIPLINE STUDENT BEHAVIOR THAT IS NOT CONSISTENT WITH THE STUDENT CONDUCT CODE IS ADDRESSED THROUGH AN EDUCATIONAL PROCESS THAT IS DESIGNED TO PROMOTE SAFETY AND GOOD CITIZENSHIP AND, WHEN NECESSARY, IMPOSE APPROPRIATE CONSEQUENCES. THE FOLLOWING ARE THE GROUNDS UPON WHICH STUDENT DISCIPLINE CAN BE BASED: 1. DISHONESTY, INCLUDING: A. CHEATING, PLAGIARISM, OR OTHER FORMS OF ACADEMIC DISHONESTY THAT ARE INTENDED TO GAIN UNFAIR ACADEMIC ADVANTAGE. B. FURNISHING FALSE INFORMATION TO A UNIVERSITY OFFICIAL, FACULTY MEMBER, OR CAMPUS OFFICE. C. FORGERY, ALTERATION, OR MISUSE OF A UNIVERSITY DOCUMENT, KEY, OR IDENTIFICATION INSTRUMENT. D. MISREPRESENTING ONE'S SELF TO BE AN AUTHORIZED AGENT OF THE UNIVERSITY OR ONE OF ITS AUXILIARIES. 2. UNAUTHORIZED ENTRY INTO, PRESENCE IN, USE OF, OR MISUSE OF UNIVERSITY PROPERTY. 3. WILLFUL, MATERIAL AND SUBSTANTIAL DISRUPTION OR OBSTRUCTION OF A UNIVERSITY RELATED ACTIVITY OR ANY ON-CAMPUS ACTIVITY. 4. PARTICIPATING IN AN ACTIVITY THAT SUBSTANTIALLY AND MATERIALLY DISRUPTS THE NORMAL OPERATIONS OF THE UNIVERSITY, OR INFRINGES ON THE RIGHTS OF MEMBERS OF THE UNIVERSITY COMMUNITY. 5. WILLFUL, MATERIAL AND SUBSTANTIAL OBSTRUCTION OF THE FREE FLOW OF PEDESTRIAN OR OTHER TRAFFIC, ON OR LEADING TO CAMPUS PROPERTY OR AN OFF-CAMPUS UNIVERSITY-RELATED ACTIVITY. 6. DISORDERLY, LEWD, INDECENT, OR OBSCENE BEHAVIOR AT A UNIVERSITY RELATED ACTIVITY, OR DIRECTED TOWARD A MEMBER OF THE UNIVERSITY COMMUNITY. 7. CONDUCT THAT THREATENS OR ENDANGERS THE HEALTH OR SAFETY OF ANY PERSON WITHIN OR RELATED TO THE UNIVERSITY COMMUNITY, INCLUDING PHYSICAL ABUSE, THREATS, INTIMIDATION, HARASSMENT, OR SEXUAL MISCONDUCT. 8. HAZING OR CONSPIRACY TO HAZE. HAZING IS DEFINED AS ANY METHOD OF INITIATION OR PRE-INITIATION INTO A STUDENT ORGANIZATION OR STUDENT BODY, WHETHER OR NOT THE ORGANIZATION OR BODY IS OFFICIALLY RECOGNIZED BY AN EDUCATIONAL INSTITUTION, WHICH IS LIKELY TO CAUSE SERIOUS BODILY INJURY TO ANY FORMER, CURRENT, OR PROSPECTIVE STUDENT OF ANY SCHOOL, COMMUNITY COLLEGE, COLLEGE, UNIVERSITY OR OTHER EDUCATIONAL INSTITUTION IN THIS STATE (PENAL CODE 245.6), AND IN ADDITION, ANY ACT LIKELY TO CAUSE PHYSICAL HARM, PERSONAL DEGRADATION OR DISGRACE RESULTING IN PHYSICAL OR MENTAL HARM TO ANY FORMER, CURRENT, OR PROSPECTIVE STUDENT OF ANY SCHOOL, COMMUNITY COLLEGE, COLLEGE, UNIVERSITY OR OTHER EDUCATIONAL INSTITUTION. THE TERM "HAZING" DOES NOT INCLUDE CUSTOMARY ATHLETIC EVENTS OR SCHOOL SANCTIONED EVENTS. NEITHER THE EXPRESS OR IMPLIED CONSENT OF A VICTIM OF HAZING, NOR THE LACK OF ACTIVE PARTICIPATION IN A PARTICULAR HAZING INCIDENT IS A DEFENSE. APATHY OR ACQUIESCENCE IN THE PRESENCE OF HAZING IS NOT A NEUTRAL ACT, AND IS ALSO A VIOLATION OF THIS SECTION. 9. USE, POSSESSION, MANUFACTURE, OR DISTRIBUTION OF ILLEGAL DRUGS OR DRUG-RELATED PARAPHERNALIA, (EXCEPT AS EXPRESSLY PERMITTED BY LAW AND UNIVERSITY REGULATIONS) OR THE MISUSE OF LEGAL PHARMACEUTICAL DRUGS. 10. USE, POSSESSION, MANUFACTURE, OR DISTRIBUTION OF ALCOHOLIC BEVERAGES (EXCEPT AS EXPRESSLY PERMITTED BY LAW AND UNIVERSITY REGULATIONS), OR PUBLIC INTOXICATION WHILE ON CAMPUS OR AT A UNIVERSITY RELATED ACTIVITY. 11. THEFT OF PROPERTY OR SERVICES FROM THE UNIVERSITY COMMUNITY, OR MISAPPROPRIATION OF UNIVERSITY RESOURCES. 12. UNAUTHORIZED DESTRUCTION OR DAMAGE TO UNIVERSITY PROPERTY OR OTHER PROPERTY IN THE UNIVERSITY COMMUNITY. 13. POSSESSION OR MISUSE OF FIREARMS OR GUNS, REPLICAS, AMMUNITION, EXPLOSIVES, FIREWORKS, KNIVES, OTHER WEAPONS, OR DANGEROUS CHEMICALS (WITHOUT THE PRIOR AUTHORIZATION OF THE CAMPUS PRESIDENT) ON CAMPUS OR AT A UNIVERSITY RELATED ACTIVITY. 14. UNAUTHORIZED RECORDING, DISSEMINATION, OR PUBLICATION OF ACADEMIC PRESENTATIONS (INCLUDING HANDWRITTEN NOTES) FOR A COMMERCIAL PURPOSE. 15. MISUSE OF COMPUTER FACILITIES OR RESOURCES, INCLUDING: A. UNAUTHORIZED ENTRY INTO A FILE, FOR ANY PURPOSE. B. UNAUTHORIZED TRANSFER OF A FILE. C. USE OF ANOTHER'S IDENTIFICATION OR PASSWORD. D. USE OF COMPUTING FACILITIES, CAMPUS NETWORK, OR OTHER RESOURCES TO INTERFERE WITH THE WORK OF ANOTHER MEMBER OF THE UNIVERSITY COMMUNITY. E. USE OF COMPUTING FACILITIES AND RESOURCES TO SEND OBSCENE OR INTIMIDATING AND ABUSIVE MESSAGES. F. USE OF COMPUTING FACILITIES AND RESOURCES TO INTERFERE WITH NORMAL UNIVERSITY OPERATIONS. G. USE OF COMPUTING FACILITIES AND RESOURCES IN VIOLATION OF COPYRIGHT LAWS. H. VIOLATION OF A CAMPUS COMPUTER USE POLICY. 16. VIOLATION OF ANY PUBLISHED UNIVERSITY POLICY, RULE,

REGULATION OR PRESIDENTIAL ORDER. 17. FAILURE TO COMPLY WITH DIRECTIONS, OR INTERFERENCE WITH, ANY UNIVERSITY OFFICIAL OR ANY PUBLIC SAFETY OFFICER WHILE ACTING IN THE PERFORMANCE OF HIS OR HER DUTIES. 18. ANY ACT CHARGEABLE AS A VIOLATION OF A FEDERAL, STATE, OR LOCAL LAW THAT POSES A SUBSTANTIAL THREAT TO THE SAFETY OR WELL-BEING OF MEMBERS OF THE UNIVERSITY COMMUNITY, TO PROPERTY WITHIN THE UNIVERSITY COMMUNITY OR POSES A SIGNIFICANT THREAT OF DISRUPTION OR INTERFERENCE WITH UNIVERSITY OPERATIONS. 19. VIOLATION OF THE STUDENT CONDUCT PROCEDURES, INCLUDING: A. FALSIFICATION, DISTORTION, OR MISREPRESENTATION OF INFORMATION RELATED TO A STUDENT DISCIPLINE MATTER. B. DISRUPTION OR INTERFERENCE WITH THE ORDERLY PROGRESS OF A STUDENT DISCIPLINE PROCEEDING. C. INITIATION OF A STUDENT DISCIPLINE PROCEEDING IN BAD FAITH. D. ATTEMPTING TO DISCOURAGE ANOTHER FROM PARTICIPATING IN THE STUDENT DISCIPLINE MATTER. E. ATTEMPTING TO INFLUENCE THE IMPARTIALITY OF ANY PARTICIPANT IN A STUDENT DISCIPLINE MATTER. F. VERBAL OR PHYSICAL HARASSMENT OR INTIMIDATION OF ANY PARTICIPANT IN A STUDENT DISCIPLINE MATTER. G. FAILURE TO COMPLY WITH THE SANCTION(S) IMPOSED UNDER A STUDENT DISCIPLINE PROCEEDING. 20. ENCOURAGING, PERMITTING, OR ASSISTING ANOTHER TO DO ANY ACT THAT COULD SUBJECT HIM OR HER TO DISCIPLINE. (C) PROCEDURES FOR ENFORCING THIS CODE THE CHANCELLOR SHALL ADOPT PROCEDURES TO ENSURE STUDENTS ARE AFFORDED APPROPRIATE NOTICE AND AN OPPORTUNITY TO BE HEARD BEFORE THE UNIVERSITY IMPOSES ANY SANCTION FOR A VIOLATION OF THE STUDENT CONDUCT CODE. NOTE: AT THE TIME OF PUBLICATION, SUCH PROCEDURES ARE SET FORTH IN CALIFORNIA STATE UNIVERSITY EXECUTIVE ORDER 1098 (REVISED MARCH 29, 2019). (D) APPLICATION OF THIS CODE SANCTIONS FOR THE CONDUCT LISTED ABOVE CAN BE IMPOSED ON APPLICANTS, ENROLLED STUDENTS, STUDENTS BETWEEN ACADEMIC TERMS, GRADUATES AWAITING DEGREES, AND STUDENTS WHO WITHDRAW FROM SCHOOL WHILE A DISCIPLINARY MATTER IS PENDING. CONDUCT THAT THREATENS THE SAFETY OR SECURITY OF THE CAMPUS COMMUNITY, OR SUBSTANTIALLY DISRUPTS THE FUNCTIONS OR OPERATION OF THE UNIVERSITY IS WITHIN THE JURISDICTION OF THIS ARTICLE REGARDLESS OF WHETHER IT OCCURS ON OR OFF CAMPUS. NOTHING IN THIS CODE MAY CONFLICT WITH EDUCATION CODE SECTION 66301 THAT PROHIBITS DISCIPLINARY ACTION AGAINST STUDENTS BASED ON BEHAVIOR PROTECTED BY THE FIRST AMENDMENT. TITLE 5, CALIFORNIA CODE OF REGULATIONS, § 41302. DISPOSITION OF FEES: CAMPUS EMERGENCY; INTERIM SUSPENSION. THE PRESIDENT OF THE CAMPUS MAY PLACE ON PROBATION, SUSPEND, OR EXPEL A STUDENT FOR ONE OR MORE OF THE CAUSES ENUMERATED IN SECTION 41301. NO FEES OR TUITION PAID BY OR FOR SUCH STUDENT FOR THE SEMESTER, QUARTER, OR SUMMER SESSION IN WHICH HE OR SHE IS SUSPENDED OR EXPELLED SHALL BE REFUNDED. IF THE STUDENT IS READMITTED BEFORE THE CLOSE OF THE SEMESTER, QUARTER, OR SUMMER SESSION IN WHICH HE OR SHE IS SUSPENDED, NO ADDITIONAL TUITION OR FEES SHALL BE REQUIRED OF THE STUDENT ON ACCOUNT OF THE SUSPENSION. DURING PERIODS OF CAMPUS EMERGENCY, AS DETERMINED BY THE PRESIDENT OF THE INDIVIDUAL CAMPUS, THE PRESIDENT MAY, AFTER CONSULTATION WITH THE CHANCELLOR, PLACE INTO IMMEDIATE EFFECT ANY EMERGENCY REGULATIONS, PROCEDURES, AND OTHER MEASURES DEEMED NECESSARY OR APPROPRIATE TO MEET THE EMERGENCY, SAFEGUARD PERSONS AND PROPERTY, AND MAINTAIN EDUCATIONAL ACTIVITIES. THE PRESIDENT MAY IMMEDIATELY IMPOSE AN INTERIM SUSPENSION IN ALL CASES IN WHICH THERE IS REASONABLE CAUSE TO BELIEVE THAT SUCH AN IMMEDIATE SUSPENSION IS REQUIRED IN ORDER TO PROTECT LIVES OR PROPERTY AND TO INSURE THE MAINTENANCE OF ORDER. A STUDENT SO PLACED ON INTERIM SUSPENSION SHALL BE GIVEN PROMPT NOTICE OF CHARGES AND THE OPPORTUNITY FOR A HEARING WITHIN 10 DAYS OF THE IMPOSITION OF INTERIM SUSPENSION. DURING THE PERIOD OF INTERIM SUSPENSION, THE STUDENT SHALL NOT, WITHOUT PRIOR WRITTEN PERMISSION OF THE PRESIDENT OR DESIGNATED REPRESENTATIVE, ENTER ANY CAMPUS OF THE CALIFORNIA STATE UNIVERSITY OTHER THAN TO ATTEND THE HEARING. VIOLATION OF ANY CONDITION OF INTERIM SUSPENSION SHALL BE GROUNDS FOR EXPULSION.